

# 5d Supergravity Dual of $\alpha$ -maximization

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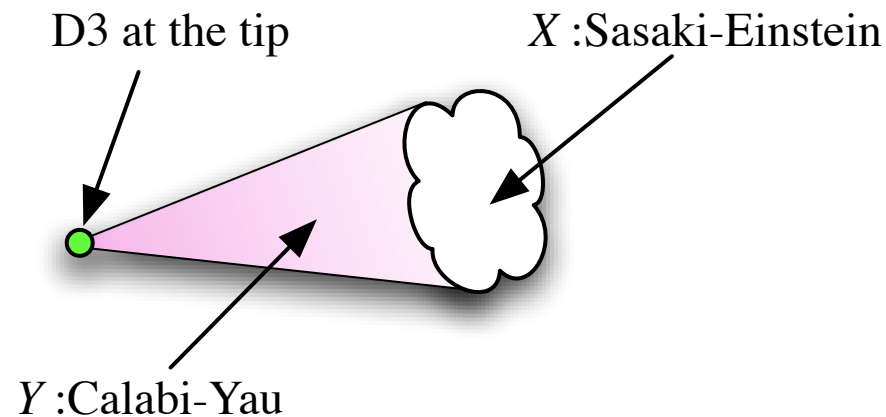
based on (YT, [hep-th/0507057](#))

October, 2005 @ Caltech

## 1. Introduction

- ◇ Consider a D3 brane probing the tip of the CY cone  $Y$

$$ds_Y^2 = dr^2 + r^2 ds_X^2$$



- ◇ Two way of analysis
  - Field theory on the D3  $\Rightarrow$  Some quiver gauge theory
  - Near Horizon Limit of the D3  $\Rightarrow \text{AdS}_5 \times X_5$

## Do the properties match ?

- ◇ Both give a theory with  $SU(2, 2|1)$  symmetry.
  - 4d  $\mathcal{N} = 1$  SCFT from the field theory p.o.v.
  - Isometry of spacetime from the gravity p.o.v.
  
- ◇ Basic quantity : Central charge  $a$  and  $c$   
 $a - c : 1/N$  correction / higher-derivative correction
  
- ◇ How can we calculate  $a$  ?

## Field Theory Side

CY cone as toric singularity

⇒ quiver gauge theory (Hanany et al.)

⇒  $a$ -maximization (Intriligator-Wecht)

## Sasaki-Einstein Side

CY cone as toric singularity

⇒ Need to find Einstein metric on Sasaki-manifold

⇒  $Z$ -minimization (Martelli-Sparks-Yau)

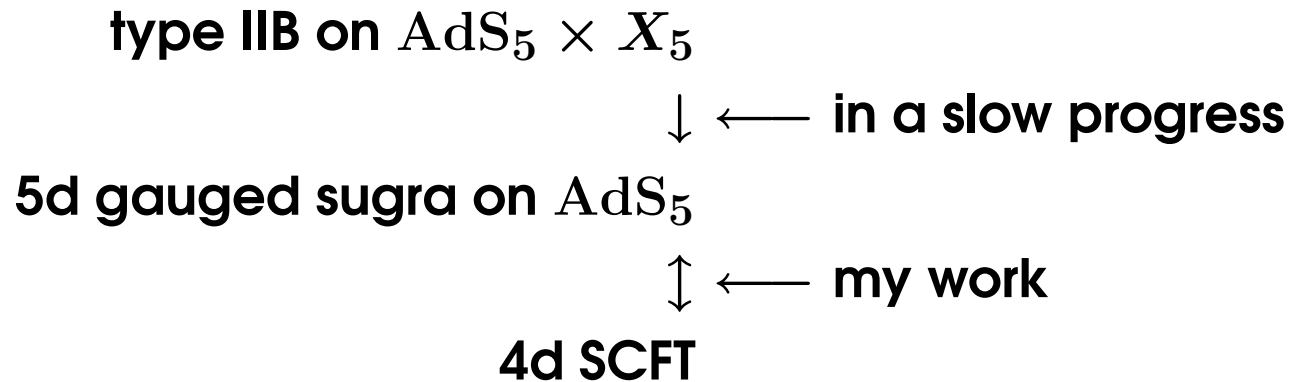
## They match !

- ◇ A great check of AdS/CFT,
- ◇ Why do they match ?

cf. (Butti-Zaffaroni 0506232) showed by brute force that  $Z = 1/a$  after maximizing for baryonic symmetry, but no clear physical explanation yet.

cf. (Barnes-Gorbatov-Intriligator-Wright 0507146) showed the equivalence  $Z = 1/a$  on the vacua by considering two-point current correlators.

## Divide and Conquer ...



- ◇ 5d gauged sugra is very **constrained**, just as the Seiberg-Witten theory.
- ◇ Some insight might be expected from this point of view ?

- ◇ My message today :  
 $\alpha$ -maximization in 4d is  $P$ -minimization in 5d.
- ◇ More common name for  $P$ -maximization is the **Attractor Eq.**

### Historical Curiosity

In the penultimate paragraph in

(Ferrara-Zaffaroni 'N=1,2 SCFT and Supergravity in 5d' 9803060):

The presence of a scalar potential for supergravities in AdS5 allows to study critical points for different possible vacua in the bulk theory. It is natural to conjecture that these critical points should have a dual interpretation in the boundary superconformal field theory side.

They could have discovered  $\alpha$ -maximization  
before (Intriligator-Wecht, 0304128) !

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## 2. $a$ -maximization

### $\mathcal{N} = 1$ Superconformal Algebra in 4d

$$\{Q_\alpha, S^\alpha\} \sim R_{SC} + \dots$$
$$[R_{SC}, Q_\alpha] = -Q_\alpha$$

◇  $R_{SC}$  carries a lot of info:

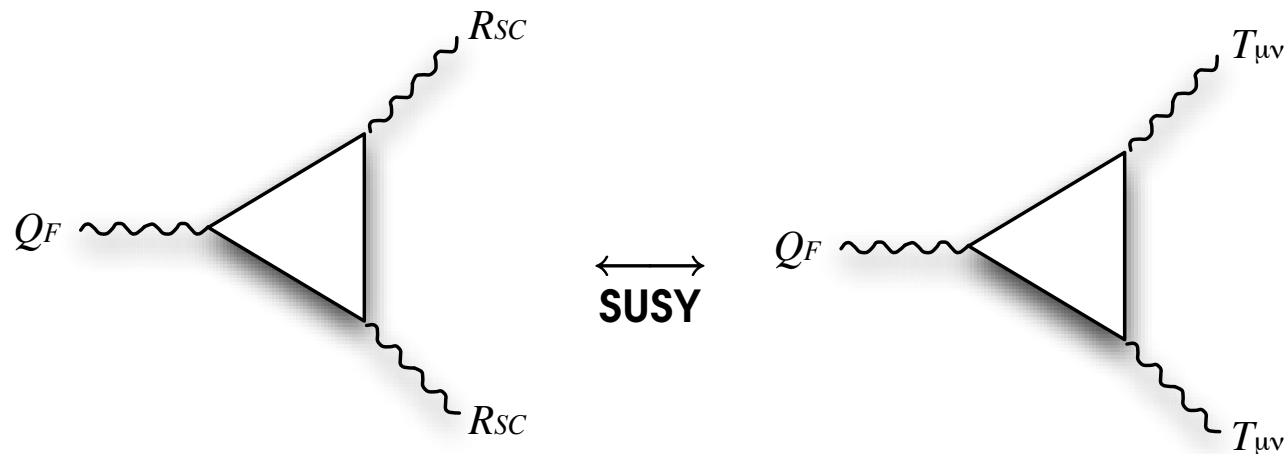
- $\Delta \geq \frac{3}{2}R_{SC}$
- $a = \frac{3}{32}(3 \operatorname{tr} R_{SC}^3 - \operatorname{tr} R_{SC})$

cf.  $\langle T_\mu^\mu \rangle = a \times \text{Euler} + c \times \text{Weyl}^2$

- Let  $Q_I$  be integral  $U(1)$  charges.  $\Rightarrow R_{SC} = \tilde{s}^I Q_I$ .

How can we find  $\tilde{s}^I$  ?

- Let  $[Q_I, Q_\alpha] = -\hat{P}_I Q_\alpha$ .
- Call  $Q_F = f^I Q_I$  with  $[Q_F, Q_\alpha] = 0$  a flavor symmetry.



$$9 \operatorname{tr} Q_F R_{SC} R_{SC} = \operatorname{tr} Q_F$$

- ◇  $[\tilde{s}^I Q_I, Q_\alpha] = -Q_\alpha \Rightarrow \tilde{s}^I \hat{P}_I = 1.$
- ◇ **Let**  $a(s) = \frac{3}{32}(3 \operatorname{tr} R(s)^3 - \operatorname{tr} R(s))$  **where**  $R(s) = s^I Q_I.$
- ◇  $9 \operatorname{tr} Q_F R_{SC} R_{SC} = \operatorname{tr} Q_F \Rightarrow \tilde{s}^I$  **extremizes**  $a(s)$  **under**  $s^I \hat{P}_I = 1.$
- ◇ **Unitarity**  $\Rightarrow$  **it's a local maximum.**

**$\alpha$ -maximization !**

- ◇ **Let**  $\hat{c}_{IJK} = \operatorname{tr} Q_I Q_J Q_K$  **and**  $\hat{c}_I = \operatorname{tr} Q_I.$

**cf.** Both calculable at UV using 't Hooft's anomaly matching.

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### 3. 5d gauged supergravity

#### Multiplet structure

- ◇ Minimal number of supercharges is 8, called  $\mathcal{N} = 2$
- ◇ Gravity multiplet, Vector multiplet, Hypermultiplet

#### Gravity multiplet

$$g_{\mu\nu}, \quad \psi_{\mu}^i, \quad A_{\mu}^I$$

- ◇  $i = 1, 2$ : index for  $SU(2)_R$ .
- ◇  $I$ : explained in a second

## Vector Multiplet

$$A_{\mu}^I, \quad \lambda_i^x, \quad \phi^x$$

◇  $I : \mathbf{0}, \dots, n_V$  and  $x : \mathbf{1}, \dots, n_V$

**cf.**  $A_{\mu}^I$  constitutes integral basis; graviphoton is a mixture.

◇  $\phi^x$  parametrize a hypersurface

$$F = c_{IJK} h^I h^J h^K = 1$$

in  $(n_V + 1)$  dim space  $\{h^I\} \Rightarrow h^I = h^I(\phi^x)$ : sp. coordinates

◇ Kinetic terms are

$$-\frac{1}{2}g_{xy}\partial_\mu\phi^x\partial_\mu\phi^y - \frac{1}{4}a_{IJ}F_{\mu\nu}^IF_{\mu\nu}^J$$

where

$$\begin{aligned} g_{xy} &= -3_{IJK}h_{,x}^Ih_{,y}^Jh^K \\ a_{IJ} &= h_Ih_J + \frac{3}{2}g^{xy}h_{I,x}h_{J,y} \\ h_I &\equiv c_{IJK}h^Jh^K \quad (\text{dual sp. coordinates}) \end{aligned}$$

◇ There is the Chern-Simons term

$$\frac{1}{6\sqrt{6}}c_{IJK}\epsilon^{\mu\nu\rho\sigma\tau}A_\mu^IF_\nu^JF_\sigma^K$$

## Hypermultiplet

$$q^X, \quad \zeta^A$$

- ◇  $X : 1, \dots, 4n_H$ , holonomy  $SO(4n_H) \supset Sp(n_H) \otimes Sp(1)_R$
- ◇  $A : 1, \dots, 2n_H$  labels the fundamental of  $Sp(n_H)$
- ◇ Vierbein  $f_{iA}^X, i = 1, 2$
- ◇  $Sp(1)_R$  part of the curvature is fixed:

$$R_{XYij} = -(f_{XiA}f_{Yj}^A - f_{YiA}f_{Xj}^A)$$

We use  $\{ij\} \longleftrightarrow r = 1, 2, 3$



## Gauging

- ◇ Potential is associated with the gauging of the hypers:

$$\partial_\mu q^X \longrightarrow D_\mu q^X = \partial_\mu q^X + A_\mu^I K_I^X$$

where  $K_I^X$  is triholomorphic:

$$K_I^X R_{XY}^r = D_Y P_I^r$$

cf.  $P_I^r$  is a **triplet** generalization of the  $D$ -term.

- ◇ The potential  $V$  is given by

$$V = 3g^{xy} \partial_x P^r \partial_y P^r + g^{XY} D_X P^r D_Y P^r - 4P^r P^r$$

where  $P^r = h^I P_I^r$

cf. Gukov-Vafa-Witten type superpotential.

◇  $P^r$  appears everywhere:

- Covariant derivative of the gravitino

$$D_\nu \psi_\mu^i = \partial_\nu \psi_\mu^i + A_\mu^I P_{jI}^i \psi_I^j$$

- SUSY transformation law

$$\delta_\epsilon \phi^x = \frac{i}{2} \bar{\epsilon}^i \lambda_i^x$$

$$\delta_\epsilon \lambda_x^i = -\epsilon_j \sqrt{\frac{2}{3}} \partial_x P^{ij} + \dots$$

$$\delta_\epsilon q^X = -i \bar{\epsilon}_i f^{XiA} \zeta_A$$

$$\delta_\epsilon \zeta_A = \frac{\sqrt{6}}{4} \bar{\epsilon}^i f_{XiA} K_I^X h^I + \dots$$

◇ Suppose  $K_I^X = Q_{IY}^X q^Y + \dots$   
 where  $Q_{IY}^X$  is the charge matrix of the hypers.

◇ Recall  $K_I^X R_{XY}^{ij} = D_Y P_I^{ij}$   
 $\Rightarrow$  some calculation  $\Rightarrow$

$$\begin{array}{ccc} \text{projection} & Q_{IY}^X & \in so(4n_H) \\ & \downarrow & \cup \\ & P_I^{ij} & \in sp(1)_R \end{array}$$

at  $q^X = 0$ .

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## 4. How do they match?

Recap.

Field theory

$\Leftrightarrow$

Supergravity

$$\begin{aligned}\hat{c}_{IJK} &= \text{tr } Q_I Q_J Q_K \\ [Q_I, Q_\alpha] &= -\hat{P}^I Q_\alpha\end{aligned}$$

$a$ -max

$$\begin{aligned}c_{IJK} h^I h^J h^K &= 1 \\ D_\mu \psi_\nu^i &= (\partial_\mu + P_{jI}^i A_\mu^I) \psi_\nu\end{aligned}$$

???

## AdS/CFT correspondence

AdS

CFT

$$\begin{array}{ccc} \hat{\phi} & & \mathcal{O} \\ Z[\hat{\phi}(x)|_{x_5=\infty} = \phi(x)] & = & \langle e^{-\int \phi(x) \mathcal{O}(x) d^4x} \rangle \end{array}$$

$$A_{\mu}^I \leftrightarrow J_I^{\mu} : \text{current for } Q_I$$

Thus, we need to introduce  $\langle e^{-\int A_{\mu}^I J_I^{\mu}} \rangle_{SCFT}$ .

$Q_I$  has **triangle anomalies** among them

$\Rightarrow \langle e^{-\int A_\mu^I J_I^\mu} \rangle_{SCFT}$  **depends** on the gauge !

$$\begin{aligned}\delta_g(\cdots) &= \int d^4x \frac{1}{24\pi^2} \hat{c}_{IJK} g^I F^J \wedge F^K \\ &= \int d^5x \frac{1}{24\pi^2} \hat{c}_{IJK} \delta_g(A^I) \wedge F^J \wedge F^K\end{aligned}$$

$$c_{IJK} = \frac{\sqrt{6}}{16\pi^2} \hat{c}_{IJK}.$$

- ◇  $\hat{c}_I = \text{tr } Q_I$  is related to  $\hat{c}_I A^I \wedge \text{tr } R \wedge R$  in the same way.
- ◇ In the following, we set  $\hat{c}_I \ll \hat{c}_{IJK}$ .

## SUSY condition for sugra

- ◇ Assume  $q^X = 0$  and  $\delta\zeta^A = 0$ .
- ◇ Recall  $\delta\lambda_x^i \propto \epsilon_j \partial_x P^{ij}$ .  $\Rightarrow \delta\lambda = 0 \Rightarrow \tilde{h}_{,x}^I P_I^{ij} = 0$
- ◇  $h_{,x}^*$  and  $P_*^r$  is **perpendicular** as  $(n_V + 1)$  dim'l vectors.
- ◇  $x = 1, \dots, n_V \Rightarrow P^{r=1,2,3}$  are **parallel**.
- ◇ Rotate so that  $P^{r=1,2} = 0$ ,  $P^{r=3} \neq 0$ .
- ◇  $c_{IJK} h^I h^J h^K = 1 \Rightarrow \underbrace{c_{IJK} h^I h^J}_{h_K} h_{,x}^K = 0$   
 $\tilde{h}_I = k P_I^{r=3} : \text{Attractor Eq.}$



◇ Recall

AdS		CFT
$\psi_\mu$	$\leftrightarrow$	$Q_\alpha, S^\alpha$
$A_\mu^I$	$\leftrightarrow$	$Q_I$

and

$$D_\nu \psi_\mu^i = \partial_\nu \psi_\mu^i + A_\mu^I P_{jI}^i \psi_I^j,$$

⇒ The **charge** of  $Q_\alpha, S^\alpha$  under  $Q_I$  is  $\pm P_I^{r=3}$ .

◇ Recall  $[Q_I, Q_\alpha] = -\hat{P}_I Q_\alpha$

$$\Rightarrow P_I^{r=3} = \hat{P}_I.$$

- ◇ From the susy tr,

$$\{\delta_\epsilon, \delta_{\epsilon'}\} q^X \propto (\bar{\epsilon} \epsilon') h^I K_I^X$$

$$\Rightarrow R_{SC} = \tilde{s}^I Q_I \propto \tilde{h}^I Q_I.$$

- ◇ Recall  $\tilde{s}^I P_I = 1$ .  $\Rightarrow \tilde{s}^I = \tilde{h}^I / (\tilde{h}^I P_I)$ .

- ◇ Extend the relation to  $s^I = h^I / (h^I P_I) \Rightarrow$

$$a(s) \propto \text{tr}(s^I Q_I)^3 = \hat{c}_{IJK} s^I s^J s^K = \frac{c_{IJK} h^I h^J h^K}{(h^I P_I)^3} \propto (h^I P_I)^{-3}.$$

- ◇  $a\text{-max} = P\text{-min} !$

$$\delta\lambda = h_{,x}^I P_I = (h^I P_I)_{,x} = P_{,x} = 0$$

## E.g. Exactly marginal deformation

$$\begin{array}{ccc} \text{AdS} & & \text{CFT} \\ M_c & \leftrightarrow & \text{'Conformal manifolds'} \end{array}$$

### ◇ A puzzle

- $M_c$  should have **Kähler structure** from CFT p.o.v.
- $M_c$  must corresponds to **hyper**scalars, since vectors are fixed by  $a\text{-max} = P\text{-min}$ .
- Hypers are **quaternionic**, which is **not** Kähler. **???**

- ◇  $\delta\zeta^A = 0 \Rightarrow K^X = 0$  where  $K^X \equiv \tilde{h}^I K_I^X$ .
- ◇ Superconformal deformation  $\leftrightarrow M_c = \{q^X \in M_{\text{hyper}} \mid K^X = 0\}$
- ◇ From  $D_X P^r = R_{XY}^r K^X$ ,  $P^r$  is **covariantly constant** on  $M_c$ .
- ◇ Then  $M_c$  **is Kähler**, because

$$J_Y^X \equiv R_{YZ}^r g^{XZ} P_r / |P_r|$$

is a **covariantly constant** matrix with  $J^2 = -1$ .

## $a$ -max with Lagrange multipliers

- ◇ SCFT also has anomalous symmetries

$$\partial_\mu J_I^\mu = \sum_a m_I^a \operatorname{tr} F^a \wedge F^a$$

- ◇ (Kutasov) extended the  $a$ -max to include them,

$$a(s^I, \lambda_a) = a(s) + \lambda_a m_I^a s^I$$

where  $\lambda_a$  are Lagrange multipliers enforcing the **anomaly-free** condition for  $R_{SC}$ .

- ◇  $\lambda_a$  behaves like **coupling constant** for  $F_{\mu\nu}^a$ .

◇ Recall

AdS

$A_\mu$   
 $\phi$

CFT

$J^\mu$   
 $\mathcal{X}$

Higgs mechanism  $\leftrightarrow$  anomalous symmetry

◇ It's because

$\langle \exp(\int A_\mu J^\mu + \phi \mathcal{X}) \rangle$  : invariant under  $\delta A_\mu = \partial_\mu \epsilon, \quad \delta \phi = \epsilon$

$\Rightarrow$

$$\langle \exp(\int \epsilon(\partial_\mu J^\mu - X)) \rangle = 0.$$

- Let us denote by  $K_a^X$  the isometry for

$$\delta\phi_a = \epsilon, \quad \phi_a \leftrightarrow \text{tr } F^a \wedge F^a.$$

- It can be shown

AdS

CFT

$$\begin{array}{ccc} K_a^X & & \text{tr } F^a \wedge F^a \\ P_a^{r=1,2} & \leftrightarrow & \text{tr } \epsilon^{\alpha\beta} \lambda_\alpha^a \lambda_\beta^a \\ P_a^{r=3} & & \text{tr } F_{\mu\nu}^a F_{\mu\nu}^a \end{array}$$

- $P_a^{r=3}$  enters in the  $P$ -function as  $P_a^{r=3} m_I^a h^I$

The AdS dual of the coupling constant  
exactly acts as the Lagrange multiplier !

## 5. Conclusion

### DONE

- ✓  $\alpha$ -maximization is  $P$ -minimization or the attractor eq.
- ✓ Other correspondences. Please see my paper !

### TO DO

- ◇ Dual of Higgsing, Dual of Unitarity Bound Hit, ...
- ◇ Include  $\hat{c}_I A^I \wedge \text{tr } R \wedge R$
- ◇ Calculate  $c_{IJK}$  for IIB on  $\text{AdS} \times X_5$  (in progress)